



Australian Government

Australian Skills Quality Authority

REPORT

Audit report: Regal Security & Industry Training Pty Ltd atf Regal Security Trust

Date: 21 November 2017

Date report updated: 21/05/2018



Organisation details

Organisation's legal name:	Regal Security & Industry Training Pty Ltd atf Regal Security Trust
Trading name/s:	Regal Security and Industry Training
RTO number:	32331
CRICOS number:	N/A

Audit team

Lead auditor:	Scott Templeton
Assistant/s:	Robyn Porter (Observing) Denise Middleton

Audit details

Application number/s:	N/A
Audit number:	AUDREC0000318
Audit reason:	Compliance - monitoring
Address of site/s visited:	1/42 Nerang Street Southport 4215 QLD
Date/s of audit:	21 November 2017
Organisation's contact for audit:	Robert Basile CEO rob@regalsecuritytraining.com.au 0405 129 123
Clauses audited:	1.1, 1.2, 1.3, 1.7, 1.8, 1.13 - 1.18, 1.20, 4.1, 5.1, 5.2, 5.3, 7.3

Original finding at time of audit

Audit finding: Significant non-compliance

Report completed by: Scott Templeton

Date: 21 November 2017

- The level of non-compliance considers the potential for an adverse impact on the quality of training and assessment outcomes for students.
- If non-compliance has been identified, this audit report describes evidence of the non-compliance.

Audit finding by Practice

Practice	Standards for RTOs 2015	Original Finding
Marketing/Recruitment	4.1	Compliant
Enrolment	5.2	Not compliant
Enrolment	5.1, 5.3	Not audited
Enrolment	7.3	Compliant
Support and Progression	1.7	Compliant
Training and Assessment	1.8	Not compliant
Training and Assessment	1.1, 1.2, 1.3, 1.13, 1.14, 1.5, 1.16	Compliant
Training and Assessment	1.17, 1.18, 1.20	Not audited
Completion	3.1	Not compliant
Regulatory Compliance / Governance	8.5	Not compliant

Regulatory Compliance/Governance	8.2	Compliant
Regulatory Compliance/Governance	2.3, 2.4	Not audited

Audit finding following analysis of additional evidence

Audit finding following analysis of additional evidence provided on 22/02/2018 : Compliant

Report completed by: Denise Middleton

Practice	Standards for RTOs 2015	Finding
Enrolment	5.2	Compliant
Training and Assessment	1.8	Compliant
Completion	3.1	Compliant
Regulatory Compliance /Governance	8.5	Compliant

Background

Summary of RTO organisation and management structure:

- The organisation consists of CEO, Mr Robert Basile, management staff and contracted trainers.
- Mr Basile owns a security company with up to 50 staff members. The organisation services, pubs, clubs, cash in transit operations and private security.

Scope of RTOs registration:

- The organisation holds 3 qualifications from the CPP training package and 11 units of competency from the CPP, HLT and SIT training packages.
- The following accredited courses are also held on scope:
 - 10618NAT- Course in Firearms Safety (approved for Firearms Licensing in Queensland)
 - 30989QLD- Course in Firearms Safety (approved for firearms licensing in Queensland)

Suburb and state of all delivery sites:

- Face to face delivery is conducted in Southport, Queensland.
- All delivery is based in Queensland.

Third party usage:

- The organisation has 3 x third party arrangements for delivery of training and assessment with – Mr Anthony Chadburn, Achieve Corporate Services Pty Ltd and GLNG Security Pty Ltd.

Core clients/target groups:

- The core clientele consists of people wishing to enter the security industry and also existing members of the security industry, wishing to refresh their knowledge.

Training Revenue (Funded or fee for service):

- Main revenue source consists of Fee for service.

Total number of current enrolments in RTO as at audit date:

- 71.

In preparing the audit report, consideration has been given and reference made, where relevant, to:

- Information provided by students as part of a student survey or interview.
- Information provided directly by Regal Security & Industry Training Pty Ltd atf Regal Security Trust to ASQA
- Existing information and records held by ASQA concerning Regal Security & Industry Training Pty Ltd atf Regal Security Trust
- Information provided to ASQA's auditors and documentation reviewed during the site audit of Regal Security & Industry Training Pty Ltd atf Regal Security Trust conducted on 21 November 2017.
- Other publically available information - including but not limited to, information published on the RTO's and third party websites.

Audit Sample

Code	Training products	Mode/s of delivery / assessment*	Current enrolments
CPP20212	Certificate II in Security Operations	Face to face	27
CPP30411	Certificate III in Security Operations	Face to face	23
10618NAT	Course in Firearms Safety (approved for Firearms Licensing in Queensland)	Face to face	0
SITHFAB002	Provide responsible service of alcohol Provide responsible service of alcohol	Face to face	0
HLTAID003	Provide first aid	Face to face	1

*Apprenticeship, Traineeship, Face to face, Distance, Online, Workplace, Mixed, Other (specify)

Interviewees

Name	Position	Training products
Robert Basile	CEO	CPP20212 CPP30411 10618NAT SITHFAB002 HLTAID003

About this Report

This report details findings against the *Standards for Registered Training Organisations 2015*.

Where evidence of non-compliance is identified, the Registered Training Organisation is accountable for identifying and correcting non-compliant practices and behaviours, particularly those that have had a negative impact on learners.

Correcting a non-compliance may require:

- correcting a process or system that has led to the non-compliance, and implementing a revised process or system
- identifying the impact on learners and carrying out remedial action for current and past learners